

## TWO MATHEMATIC MODEL FOR PREDICTION OF RESERVOIR INDUCED SEISMICITY

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With the expansion of human engineering activity both in scale and in sphere, there appears an accompanying new problem---induced seismicity in these decades especially in the last two decades. Many activities would intensify seismicity, such as impoundment water in reservoir, large scale opencut mining and underground mining, waste liquid disposal, geothermal power generation as well as filling of high pressure water into the ground for a second time of petroleum exploitation, large scale drawing of underground water in cities and the post effect of underground nuclear detonation, etc. Since induced seismicity are accompanying engineering projects and occur near the large engineering projects triggering them, obvious social and economic consequences would be incurred. Therefore even as weak as  $M=5$  magnitude, an earthquake has to be taken into account. Among various kinds of induced seismicity already known, reservoir induced seismicity (usually called reservoir earthquake) is most worth heeding. The Xinfengjiang Reservoir in China, the Kremasta Reservoir in Greece, the Kariba Reservoir in the border area between Zambia and Zimbabwe and the Koyna in India, all had triggered strong earthquakes of more than 6 magnitude one after another in 1960s, and such earthquake is most serious in Xinfengjiang and Koyna.

Exploitation of hydroelectric resources is one of the most important ways to ease the energy problem for the world and especially for China. Many seismologists have been extensively information on the cases of reservoir earthquake (according to statistics, about 100 cases throughout the world and more than 10 cases in China), identifying their features, cases and trigger mechanism to find prediction methods and engineering countermeasures.

The end result of these efforts is to find out whether earthquakes

would be induced after a reservoir is filled and what would be their intensities and location. And this is also one of the key seismological problems determining the rational operation of a reservoir and its accessory facilities.

Up to recent, owing to the restriction by the inadequate exposure of the natural phenomenon and the complicity of the problem, thus the people's poor understanding of it, extreme countermeasures have often been taken in engineering practice<sup>[11]</sup>. In view of the low occurrence probability especially for strong earthquake, some people advocate to take no consideration of the risk while others hold that the highest magnitude so far (Koyna, Ms6.5) be adopted as a general seismic protection standard for all large reservoir to be built. However, from the viewpoint to solve the contradiction between safety and economics, these two ideas both seem a little too extreme.

The scholar of different fields suggested many empirical or semi-empirical prediction methods.<sup>[12]</sup> In this study, The author tries to use digitally processed data from existing reservoirs (including those induced or not induced seismicity) to establish a mathematical prediction model. Here a brief description is firstly given of the statistical examination model (SEM) proposed by Packer<sup>[13]</sup> and Beacher<sup>[14]</sup>. Then the author's fuzzy comprehensive estimation model (FCEM) is presented. It goes without saying that since this mathematic prediction model is based on the analysis of existing data, it is of limitation in nature. However it is still a method which may be used in conjunction with the above methods for comprehensive judgement.

### 1. Statistical Examination Model for Reservoir Earthquake (SEM)

#### 1. Used data

Since there are several hundred thousands of reservoirs in the world, it is impossible to collect all data from so numerous reservoirs. On the other hand, the seismic cases of existing reservoirs show that they (especially strong ones) occurred mostly at large reservoirs of only several hundreds in number. Also, once a large reservoir suffers an earthquake, disastrous effect would result. Therefore, data used in this analysis are limited to large reservoirs both induced (RIS) or not yet seismicity ( $\overline{RIS}$ ). Of course, the predictive result thus obtained are also only applicable to "large reservoirs", while used on "small reservoirs" it is quite possible to overestimate the inductive risks.

Seismoinductive factors (Table 1) used in statistic analysis of large

reservoirs are considered as in close relationship with seismoinductive effects and can be found in published literature. Other possibly related factors are not listed for the time being either because they not available or because their seismoinductive effects have already been included in other factors. Once data are complete, they can be introduced into the model without difficulties.

"Large reservoir" defined in SEM and in FCEM refers to a reservoir of more than 92 meter in depth (ont the dam hight) and/or more than  $10^{10}$  cubic meters in volume. The number of reservoir, used in SEM, meeting the above difinition are 29 seismoinduced and 205 no seismoinduced.

For seismoinductive factors and their classification (called factor state) see Table 1.

Based on the data of the 29 seismoinduced and 205 no seismoinduced, the frequency of the reservoirs under different states and their likelihood are listed in Tadle 2.

Table 1 Inductive facrors and their states

factor	sstate		
	1	2	3
Depth(C)	$d_1$ : <15.m	$d_2$ : 92—150m	$d_3$ : <92m
Volume(V)	$v_1$ : $10^{10}m^3$	$v_2$ : $(0.12-1)10^{10}$	$v_3$ : $0.12-1.10m^3$
Stress field(D)	$s_1$ : extensional (thrust fault circumstance)	$s_2$ : extensional (thrust fault circumstance)	$s_3$ : shear (shear fault circumstance)
Fault activity(F)	$f_1$ : active	$f_2$ : inactive	
Geology conditiop(G)	$g_1$ : sedimentary	$g_2$ : metamorphic	$g_3$ : igneous

Table 2 Factor states and their likelihood rates

factor		number of reservoirs	state		
			1	2	3
RIS	D	29	10(0.34)	18(0.62)	1(0.04)
	V	29	7(0.24)	11(0.38)	11(0.38)
	S	29	4(0.14)	18(0.26)	7(0.24)
	F	7	7(1.00)	0(0)	
	G	28	13(0.46)	8(0.29)	7(0.25)
RIS	D	204	27(0.13)	144(0.71)	33(0.16)
	V	205	52(0.25)	36(0.18)	117(0.57)
	S	203	34(0.17)	138(0.68)	31(0.15)
	F	6	4(0.67)	2(0.33)	
	G	165	57(0.35)	64(0.39)	44(0.26)

## 2.SEM

### (1) single factor model

It is obvious that a reservoir earthquake can not be induced only by and single one of the above factor, but a single factor is the basis for multifactor prediction model. For a known factor state, for example  $d_i$ , according to Bayes's theorem, the conditional probability  $p(RIS/d_i)$  will be

$$q(RIS/d_i) = \frac{p(RIS) p(d_i/RIS)}{p(RIS)p(d_i/RIS) + p(\overline{RIS})p(d_i/\overline{RIS})} \quad (1)$$

where  $p(RIS)$  and  $p(\overline{RIS})$  are the pre-examination probabilities of  $RIS$  and  $\overline{RIS}$  respectively, and  $p(d_i/RIS)$  and  $p(d_i/\overline{RIS})$  are the conditional probability of  $RIS$  and  $\overline{RIS}$  versus  $d_i$  respectively.

Conditional probability (Table 3) for all single factor states can be calculated in the same way.

Table 3 conditional probability of single factor states

factor	state		
	1	2	3
D	0.26	0.11	0.03
V	0.12	0.23	0.09
S	0.10	0.12	0.18
F	0.18	0	
G	0.16	0.10	0.12

## (2) Multi-factor Model

Like (1), taking all 5 factors into account, the conditional probability of earthquake occurrence is

$$p(RIS/D, V, S, F, G) = \frac{p(RIS)p(D, V, S, F, G/RIS)}{p(RIS)p(D, V, S, F, G/RIS) + p(\overline{RIS})p(D, V, S, F, G/\overline{RIS})} \quad (2)$$

the conditional probability of  $RIS$  for multi-factor is

$$p(\overline{RIS}/D, V, S, F, G) = \frac{p(\overline{RIS})p(D, V, S, F, G/\overline{RIS})}{p(RIS)p(D, V, S, F, G/RIS) + p(\overline{RIS})p(D, V, S, F, G/\overline{RIS})} \quad (3)$$

Divide equation (2) by (3), we have

$$\frac{p(RIS/D, V, S, F, G)}{p(\overline{RIS}/D, V, S, F, G)} = \left[ \frac{p(RIS)}{p(\overline{RIS})} \right] \left[ \frac{p(D, V, S, F, G/RIS)}{p(D, V, S, F, G/\overline{RIS})} \right] = \left[ \frac{p(RIS)}{p(\overline{RIS})} \right] LR(D, V, S, F, G) \quad (4)$$

$LR(D, V, S, F, G)$  in equation (4) is called likelihood ratio which can easily be obtained using figures in Table 2 (see Table 4).

Table 4

likelihood ratio

factor	state		
	1	2	3
D	2.62	0.87	0.21
V	0.95	2.15	0.66
S	0.82	0.91	1.58
F	1.05	0	
G	1.34	0.74	0.94

### I. FCEM of RIS

It should be said that the above said statistical examination model (SEM) is rigorous in the sense of statistics, but the following problems are worth approaching. Firstly, the data used above of RIS and  $\bar{RIS}$  are apparently not sufficient for the examination of a natural phenomenon jointly caused by so numerous and complicated factors. At the mean time, it can hardly be expected to supplement more data by constructing a number of large reservoirs in few years, and then it is necessary to do more subjective judgements. Secondly, according to some studies, different factors differ greatly from each other in the relationship with RIS, this indicates that the "contributions" of different factors to seismoinduction can not be regarded as equal, and different factors as well as their states should be attached with different weights as the case may be. Thirdly, this model gives only the risk probability of RIS and does not estimate the possible maximum intensity of RIS. Obviously if estimation of RIS intensity is given, design work would be greatly facilitated. Therefore we suggest a FCEM on the basis of additional data, especial data from China.

#### 1. Fuzzy relation analysis

As pointed out above, reservoir earthquakes are caused by the combined effect of numerous factors and the seismoinductive factors are not in very close relationship with induction risk as well as possible induced intensity. For example, while high-dam large reservoirs are liable to induce earthquake, strong induced earthquakes are also seen with low-dam small reservoirs. What is the meaning of "high and large", "low and small" concerned in respect of inductive effect, reservoir depth and reservoir volume can not but be two fuzzy variables. Also, if the fault activity at and near the reservoir area is very important for judging induction effect of the fault, then what amplitude and speed of fault motion with which fault activities were to be classified are also fuzzy variables, and so on and so forth. Therefore, for the purpose of calculation, while fuzzy variables are classified, as done in SEM, it is necessary to take

consideration of such fuzzy nature to further fuzzify them (see later). So, in view of the unfull exposure of this natural phenomenon plus the differences in contribution to inductive effect by different factors, it proves to be an effective method in practical comprehensive examination of fuzzy mathematics to give proper weights to different factors and their states according to the trend shown in existing data and the conception of the expert.

## 2.FCEM

The principle and methodology of reservoir earthquake prediction using FCEM had been presented elsewhere<sup>[15, 16]</sup>, here given is only a chief description of them.

Assumed is that the domain of intensity of reservoir induced earthquake (called intensity domain, for short)

$$\tilde{V} = \{V_1, V_2, \dots, V_m\} \quad (5)$$

and the domain of factor participating in seismoinduction (called factor domain, for short)

$$\tilde{U} = \{U_1, U_2, \dots, U_n\} \quad (6)$$

where  $m$  (here  $m=3$  is taken) and  $n$  (here  $n=5$ ) are the classes of intensity domain and the number of induction participating factors respectively.

Also assumed is that the single factor examination by  $i$ th factor to various intensity classes is

$$\tilde{R}_i = (r_{i1}, r_{i2}, \dots, r_{im}) \quad (7)$$

where  $R_{ik}$  is the membership degree of the  $i$ th factor on the  $k$ th intensity class. If expressed by matrix, the fuzzy relationship will be

$$\tilde{R} = \begin{pmatrix} \tilde{R}_1 \\ \tilde{R}_2 \\ \vdots \\ \tilde{R}_n \end{pmatrix} = \begin{pmatrix} r_{11} & r_{12} & \dots & r_{1m} \\ r_{21} & r_{22} & \dots & r_{2m} \\ \vdots & \vdots & & \vdots \\ r_{n1} & r_{n2} & \dots & r_{nm} \end{pmatrix} \quad (8)$$

To perform fuzzy examination of reservoir induced earthquake is in fact to investigate the fuzzy subset  $\tilde{A}$  in domain  $\tilde{U}$  and through fuzzy relation matrix  $\tilde{R}$  to perform image on the fuzzy subset  $\tilde{B}$  in intensity domain  $\tilde{V}$ .

The factors subset in domain  $\tilde{U}$  is expressed as

$$\tilde{A} = \frac{a_1}{\tilde{U}_1} + \frac{a_2}{\tilde{U}_2} + \dots + \frac{a_n}{\tilde{U}_n} \quad (9)$$

where  $a_1, a_2, \dots, a_n$  are the membership degree of  $U_1 \dots U_n$  on  $\tilde{A}$ , that is the peoples weighting of the factors effects on seismoinduction

and its possible intensity, or the contribution by them to the seismoinduction (weight distribution).

The fuzzy subset of intensity domain is assumed as

$$\tilde{B} = \frac{b_1}{\tilde{V}_1} + \frac{b_2}{\tilde{V}_2} + \dots + \frac{b_m}{\tilde{V}_m} \quad (10)$$

where  $b_1 \dots b_m$  are the membership degree of various intensity classes on the examined intensity, and are the answer we require. The plus and division sign in (9) and (10) are Zadeh symbols.

Now the first stage of prediction model can be expressed simply as

$$\tilde{B} = \tilde{A} \circ \tilde{R} \quad (16)$$

or

$$(b_1, b_2, \dots, b_m) = (a_1, a_2, \dots, a_n) \circ \begin{pmatrix} r_{11} & r_{12} & r_{1m} \\ r_{21} & r_{22} & r_{2m} \\ \vdots & \vdots & \vdots \\ r_{n1} & r_{n2} & r_{nm} \end{pmatrix} \quad (12)$$

where "o" is the complex operation sign. In consideration of the nature of various seismoinductive factors, here a pair of operators ( $\cdot$ ,  $\oplus$ ) is used and " $\cdot$ " is the usual multiplication sign, " $\oplus$ " is bounded summation namely when weight vectors and the elements in relation matrix are normalized, the above operators will into a pair of operators ( $\cdot$ ,  $+$ ) used in conventional summing and products.

$$\alpha \oplus \beta = \min(\alpha + \beta, 1)$$

Since the factors are classified into several factor states, it is very difficult to distribute weights to all factors at the same time. So Eq (11) and (12) are revolved into the second stage examination model

$$\tilde{B} = \tilde{A} \circ \tilde{R} = \tilde{A} \begin{pmatrix} \tilde{A}_1 \circ \tilde{R}_1 \\ \tilde{A}_2 \circ \tilde{R}_2 \\ \dots \\ \tilde{A}_n \circ \tilde{R}_n \end{pmatrix} \quad (13)$$

where  $A_1 - A_n$  and the weight factors of various factor states

### 3. Factors and their states

To make comparison with SEM, factor states are changed a little, i. e., a 4th state is added and  $g_4$  is carbonatite in kind (see Table 1).

4. Difference examination of "pair of factor states" for strong induced earthquake of equal and more than  $W. \geq 5.0 (M_{\max})$  and weak induced earthquake less than  $W. < 5.0 (M_{\min})$ .

Apparently, to predict actual intensity is difficult due to limited data. In viewpoint of engineering, to divide induced earthquake into two or

der with  $M_s 5.0$  as dividing threshold is a feasible approach. To do so, it is necessary to perform difference examination of various factor of the known earthquake of  $M_s \geq 5$  and  $M_s < 5$ . In other words, examination of order is possible only if the difference is great enough so that the induced intensities can be divided into two orders. Here we use  $X^2$  examination. Examination of  $M_{macro}$  and  $M_{micro}$  can be made separately only when the value of actual examined  $X^2$  for a factor state pair is greater than threshold value for 90-95% of confidence degree. Here  $X^2$  is calculated by the formula

$$\left. \begin{aligned} X^2 &= \sum_i \sum_j \sum_k \frac{(n_{ijk} - n_k p_i p_j)^2}{n_k p_i p_j} \\ p_i &= \sum_j \sum_k \frac{n_{ijk}}{n} \\ p_j &= \sum_i \sum_k \frac{n_{ijk}}{n} \end{aligned} \right\} \quad (14)$$

where  $i$  means the column in the determinant for factor states,  $j$  means the row,  $k_1$  and  $k_2$  are the data group of  $M_{macro}$  and  $M_{micro}$ ,  $n_{ijk}$  is the number of reservoir in column  $i$  and row  $j$ ,  $n_k$  is the total number of reservoir of  $M_{macro}^{(k-1)}$  or  $M_{micro}^{(1-2)}$ , i.e.,  $n = n_{k-1} + n_{k-2}$ .

The results of difference examination are as follows (Table 5)

Table 5

Pair of factor states	$X^2$	degree of freedom	threshold value of	
			$\alpha = 0.1$	$\alpha = 0.05$
D—V	21.0	8	13.4	15.5
D—S	10.5	8	13.4	15.5
D—G	17.2	12	18.5	21.0
V—X	54.3	8	13.4	15.5
V—G	50.3	12	18.5	21.0
S—G	22.5	12	13.5	21.0

From the result in Table 5, it can be seen that the actual values of  $X^2$  of almost all factor state pairs exceed the threshold value of corresponding degree of freedom except that the signification of difference of C—S and D—G are a little lower than threshold value of 90% of confidence degree. Thus it maybe concluded that strong earthquake ( $M_s \geq 5$ ) and weak earthquake may be examined separately.

#### 5. Membership degree of single factor state (relation matrix $R$ )

Basing on the data in Attached Table 1 ( $M_s \geq 5 - M_{macro}$ ) and Attach-



ed Table 2 ( $M < 5 - M_{macro}$ ) of RIS and the data in Attached Table 3 of RIS (Attached tables omitted), relation matrixes shown in Table 6 are given by statistics, the figures in parentheses are the number of corresponding reservoirs.

Table 6 Membership degree of single factor states

factor	state	$M_{macro}$	$M_{micro}$	$M_o$
$R_D$	$d_1$	0.200(2)	0.388(12)	0.129(26)
	$d_2$	0.600(6)	0.581(18)	0.693(140)
	$d_3$	0.200(2)	0.032(1)	0.328(36)
$R_v$	$v_1$	0.400(4)	0.233(7)	0.238(48)
	$v_2$	0.600(6)	0.267(8)	0.188(38)
	$v_3$	0(0)	0.500(15)	0.574(116)
$R_s$	$s_1$	0.200(2)	0.172(5)	0.212(42)
	$s_2$	0.400(4)	0.655(19)	0.641(127)
	$s_3$	0.400(4)	0.172(5)	0.146(29)
$R_f$	$f_1$	1.000(10)	1.000(8)	0.670(4)
	$f_2$	0(0)	0(0)	0.330(2)
$R_g$	$g_1$	0.400(4)	0.074(2)	0.218(37)
	$g_2$	0.200(2)	0.333(9)	0.355(60)
	$g_3$	0.300(3)	0.296(8)	0.278(47)
	$g_4$	0.100(8)	0.296(7)	0.148(25)

It should be pointed out that there are active faults at and near the areas of the 10  $M_{macro}$  reservoirs according to data concerned and in-field investigations<sup>[13]</sup>; while for  $M_{micro}$  reservoirs, only a few of them were investigated in respect of fault activity (e.g. Aksombo of Ghana Clark Hill of USA, Keben of Turkey, Nurek and Toktokyer of USSR, Sefid of Iran Danjiangkou and Foziling of China, etc.), in and near reservoir area. So  $R_f$  of  $M_{macro}$  are also taken as  $1.0(f_1)$  and  $0(f_2)$ .

As said above, for reservoir depth and volume, being also fuzzy continuous variables, it is not reasonable enough to perform definite subjective clarification, for example, why 151 meter and 149 meter of depth are classified as  $d_1$  and  $d_2$  respectively and not in other way? Therefore, in consideration that maximum magnitude did not always occurred with highest water level and corresponding volume, a fuzzy classification membership function  $\mu_1$  is defined in the calculation of depth and volume membership degree,

$$\mu_1 = \begin{cases} 1 & n=i \\ 0.7 & n=i-1, i+1 \\ 0.3 & n=i-2, i+2 \end{cases} \quad (15)$$

Also, stress state, fault activity and medium condition are of fuzzy nature when they are determined from data concerned, for example, stress state are not so clear as those listed in Table 1; strike-slip with dipslip component is classified as strike-slip circumstance; it is difficult to ascertain what medium condition is prevailing for the predominant structure of a reservoir, and so on and so forth. Therefore a fuzzily classified membership function  $\mu_i$  is also defined for these factors,

$$\mu_i = \begin{cases} 1 & n=i \\ 0.5n \neq i \end{cases} \quad (16)$$

In this way, after calculation, data in Table 6 are converted into those in Table 8.

Table 7

factor	state	M <sub>macro</sub>	M <sub>micro</sub>	M <sub>o</sub>
R <sub>D</sub>	d <sub>1</sub>	0.304(5.8)	0.360(24.9)	0.293(134.8)
	d <sub>2</sub>	0.392(8.8)	0.392(27.1)	0.399(183.4)
	d <sub>3</sub>	0.304(6.8)	0.248(17.2)	0.308(141.8)
R <sub>V</sub>	v <sub>1</sub>	0.366(8.2)	0.271(17.1)	0.261(109.4)
	v <sub>2</sub>	0.393(8.8)	0.370(23.4)	0.365(152.8)
	v <sub>3</sub>	0.241(5.4)	0.359(22.7)	0.374(157.0)
R <sub>S</sub>	s <sub>1</sub>	0.300( 6 )	0.293(17)	0.303(120)
	s <sub>2</sub>	0.350( 7 )	0.414(24)	0.410(162.5)
	s <sub>3</sub>	0.350( 7 )	0.293(17)	0.287(113.5)
R <sub>F</sub>	f <sub>1</sub>	0.667(10)	0.667(18)	0.556( 5 )
	f <sub>2</sub>	0.333( 5 )	0.333( 4 )	0.444( 4 )
R <sub>G</sub>	g <sub>1</sub>	0.280( 7 )	0.215(14.5)	0.244(103)
	g <sub>2</sub>	0.240( 6 )	0.267(18.0)	0.271(114.5)
	g <sub>3</sub>	0.260(6.5)	0.259(17.5)	0.256(108)
	g <sub>4</sub>	0.220(5.5)	0.259(17.5)	0.229(97.7)

#### 6. weight vector of factor and weight vector of state

In the light of the tendencies of experts abroad, reported in publicized literature and the recognition of the author, here the weight vector of factor,  $\tilde{A}$ , is taken as

$$\tilde{A} = \{ 0.35(D), 0.25(V), 0.20(S), 0.10(F), 0.10(G) \} \quad (17)$$

Making reference to the published or unpublished data, weight vector of factor states is taken as

$$\begin{aligned}
 & \begin{matrix} d_1 & d_2 & d_3 \\ 0.20 & 0.60 & 0.20 \\ \tilde{A}_D = (0.45 & 0.52 & 0.03) \\ 0.12 & 0.70 & 0.18 \end{matrix} \begin{matrix} M_{macro} \\ M_{micro} \\ M. \end{matrix} \\
 & \begin{matrix} v_1 & v_2 & v_3 \\ 0.30 & 0.60 & 0.10 \\ \tilde{A}_V = (0.24 & 0.31 & 0.45) \\ 0.23 & 0.19 & 0.58 \end{matrix} \begin{matrix} M_{macro} \\ M_{micro} \\ M. \end{matrix} \\
 & \begin{matrix} s_1 & s_2 & s_3 \\ 0.20 & 0.40 & 0.40 \\ \tilde{A}_S = (0.21 & 0.58 & 0.21) \\ 0.21 & 0.63 & 0.16 \end{matrix} \begin{matrix} M_{macro} \\ M_{micro} \\ M. \end{matrix} \\
 & \begin{matrix} f_1 & f_2 \\ 1.00 & 0 \\ \tilde{A}_F = (0 & 1.00) \\ 0.67 & 0.33 \end{matrix} \begin{matrix} M_{macro} \\ M_{micro} \\ M. \end{matrix} \\
 & \begin{matrix} 0.30 & 0.30 & 0.30 & 0.10 \\ \tilde{A}_G = (0.09 & 0.35 & 0.30 & 0.26) \\ 0.22 & 0.36 & 0.27 & 0.15 \end{matrix} \begin{matrix} M_{macro} \\ M_{micro} \\ M. \end{matrix}
 \end{aligned} \tag{18}$$

## II. Predictive tables of two models (SEM and FCEM)

### 1. Predictive table for SEM

Basing on the figures in Table 4 and using Eq. (4), the seismoinductive probability of combination of 162 ( $3 \times 3 \times 3 \times 2 \times 3$ ) factor states, assuming various seismoinductive factors are probabilistic independent (last row), are calculated.

### 2. Predictive table for FCEM

Basing on the relation matrix in Table 7 and the factor weight vector (Eq. 17) and factor weight matrix (Eq. 18), the predictive results of the seismoinductive possibility and possible inducing intensity for combination of 216 ( $3 \times 3 \times 3 \times 2 \times 4$ ) factor states are calculated (last two row in Table 8). Also, the normalized membership degree of  $b_2$  and  $b_3$  of various classes on the fuzzy comprehensive evaluation are given in Table 8. So, not only predict the intensity classes, but also may know the risk of various intensity class based on their membership degree.

Having the two predictive tables, the predictive result may be examined at once, once the states of five seismoinductive factors of a large reservoir to be predicted are determined.

In order to examine the success of two models, according to

Table 8

		$d_1$ $v_1$				$d_1$ $v_2$				$d_1$ $v_3$						
		$b_1$	$b_2$	$b_3$	FCEM	SEM	prediction		$b_1$	$b_2$	$b_3$	FCEM	SEM	prediction		
s1	f1	g1	0.416	0.465	0.119	Mmicro	0.358	0.436	0.083	Mmacro	0.558	0.343	0.408	0.190	Mmicro	0.280
		g2	0.410	0.471	0.119	Mmicro	0.235	0.461	0.085	Mmicro	0.411	0.338	0.473	0.189	Mmicro	0.176
		g3	0.417	0.470	0.113	Mmicro	0.282	0.468	0.079	Mmacro	0.470	0.346	0.472	0.182	Mmicro	0.214
		g4	0.413	0.479	0.108	Mmicro		0.465	0.074	Mmacro		0.340	0.481	0.179	Mmicro	
s1	f2	g1	0.307	0.386	0.307	Mmicro	0.271	0.404	0.221	Mmacro	0.457	0.199	0.394	0.407	Mo	0.205
		g2	0.301	0.397	0.301	Mmicro	0.170	0.396	0.219	Mmacro	0.317	0.197	0.405	0.398	Mmicro	0.125
		g3	0.314	0.396	0.290	Mmicro	0.207	0.406	0.210	Mmacro	0.371	0.210	0.453	0.387	Mmicro	0.153
		g4	0.304	0.409	0.287	Mmicro		0.400	0.206	Mmacro		0.198	0.416	0.386	Mmicro	
s2	f1	g1	0.375	0.472	0.153	Mmicro	0.382	0.423	0.120	Mmicro	0.584	0.311	0.475	0.213	Mmicro	0.301
		g2	0.370	0.476	0.154	Mmicro	0.255	0.418	0.121	Mmicro	0.436	0.308	0.480	0.212	Mmicro	0.192
		g3	0.377	0.475	0.148	Mmicro	0.303	0.424	0.116	Mmicro	0.496	0.315	0.479	0.206	Mmicro	0.232
		g4	0.372	0.483	0.144	Mmicro		0.421	0.112	Mmicro		0.310	0.486	0.204	Mmicro	
s2	f2	g1	0.272	0.414	0.313	Mmicro	0.292	0.354	0.244	Mmicro	0.483	0.186	0.421	0.394	Mmicro	0.223
		g2	0.269	0.422	0.309	Mmicro	0.186	0.349	0.242	Mmicro	0.340	0.185	0.429	0.386	Mmicro	0.136
		g3	0.279	0.421	0.300	Mmicro	0.225	0.358	0.235	Mmicro	0.396	0.195	0.428	0.377	Mmicro	0.167
		g4	0.271	0.432	0.298	Mmicro		0.352	0.231	Mmicro		0.186	0.438	0.376	Mmicro	
s3	f1	g1	0.441	0.466	0.094	Mmicro	0.518	0.487	0.063	Mmacro	0.709	0.369	0.470	0.161	Mmicro	0.428
		g2	0.434	0.471	0.095	Mmicro	0.373	0.480	0.065	Mmacro	0.573	0.364	0.474	0.162	Mmicro	0.292
		g3	0.441	0.470	0.089	Mmicro	0.430	0.486	0.060	Mmacro	0.631	0.371	0.473	0.155	Mmicro	0.344
		g4	0.437	0.478	0.084	Mmicro		0.484	0.055	Mmacro		0.367	0.482	0.151	Mmicro	
s3	f2	g1	0.357	0.395	0.248	Mmicro	0.418	0.437	0.180	Mmacro	0.619	0.252	0.404	0.343	Mmicro	0.332
		g2	0.350	0.405	0.245	Mmicro	0.284	0.429	0.179	Mmacro	0.473	0.249	0.413	0.337	Mmicro	0.216
		g3	0.361	0.404	0.235	Mmicro	0.335	0.438	0.171	Mmacro	0.532	0.260	0.412	0.327	Mmicro	0.259
		g4	0.353	0.416	0.231	Mmicro		0.433	0.160	Mmacro		0.251	0.424	0.325	Mmicro	

Table 8 续表

d <sub>2</sub> v <sub>1</sub>				d <sub>3</sub> v <sub>2</sub>				d <sub>3</sub> v <sub>3</sub>				
		b <sub>1</sub>	b <sub>2</sub>	b <sub>3</sub>	SEM		b <sub>1</sub>	b <sub>2</sub>	b <sub>3</sub>	SEM		
					FCM	prediction				FCM	prediction	
s <sub>1</sub>	f <sub>1</sub>	g <sub>1</sub>	0.392	0.346	0.262	Mmicro	0.156	0.441	0.343	0.215	Mmacro	0.295
		g <sub>2</sub>	0.386	0.353	0.260	Mmacro	0.093	0.436	0.350	0.214	Mmacro	0.188
		g <sub>3</sub>	0.393	0.353	0.254	Mmacro	0.115	0.442	0.350	0.208	Mmacro	0.227
		g <sub>4</sub>	0.389	0.360	0.251	Mmacro		0.438	0.356	0.206	Mmacro	
	f <sub>2</sub>	g <sub>1</sub>	0.289	0.220	0.491	Mo	0.110	0.375	0.235	0.390	Mo	0.219
		g <sub>2</sub>	0.284	0.236	0.480	Mo	0.064	0.369	0.248	0.083	Mo	0.133
		g <sub>3</sub>	0.295	0.235	0.470	Mo	0.080	0.377	0.248	0.375	Mmacro	0.164
		g <sub>4</sub>	0.287	0.243	0.471	Mo		0.372	0.254	0.374	Mo	
s <sub>2</sub>	f <sub>1</sub>	g <sub>1</sub>	0.358	0.369	0.273	Mmicro	0.171	0.404	0.365	0.231	Mmacro	0.317
		g <sub>2</sub>	0.354	0.375	0.271	Mmicro	0.102	0.399	0.371	0.230	Mmacro	0.204
		g <sub>3</sub>	0.360	0.375	0.265	Mmicro	0.126	0.405	0.370	0.225	Mmacro	0.246
		g <sub>4</sub>	0.356	0.381	0.263	Mmicro		0.402	0.376	0.223	Mmacro	
	f <sub>2</sub>	g <sub>1</sub>	0.262	0.279	0.459	Mo	0.120	0.336	0.284	0.380	Mo	0.237
		g <sub>2</sub>	0.260	0.290	0.450	Mo	0.071	0.332	0.294	0.374	Mo	0.146
		g <sub>3</sub>	0.268	0.289	0.430	Mo	0.088	0.339	0.293	0.368	Mo	0.179
		g <sub>4</sub>	0.261	0.296	0.443	Mo		0.334	0.299	0.367	Mo	
s <sub>3</sub>	f <sub>1</sub>	g <sub>1</sub>	0.415	0.354	0.231	Mmacro	0.263	0.460	0.350	0.190	Mmacro	0.447
		g <sub>2</sub>	0.410	0.361	0.230	Mmacro	0.165	0.454	0.357	0.189	Mmacro	0.308
		g <sub>3</sub>	0.416	0.360	0.224	Mmacro	0.200	0.460	0.356	0.184	Mmacro	0.362
		g <sub>4</sub>	0.413	0.366	0.221	Mmacro		0.457	0.362	0.181	Mmacro	
	f <sub>2</sub>	g <sub>1</sub>	0.322	0.243	0.424	Mo	0.192	0.406	0.254	0.340	Mmacro	0.350
		g <sub>2</sub>	0.327	0.257	0.416	Mo	0.116	0.399	0.265	0.336	Mmacro	0.229
		g <sub>3</sub>	0.337	0.256	0.407	Mo	0.143	0.402	0.265	0.328	Mmacro	0.274
		g <sub>4</sub>	0.330	0.263	0.407	Mo		0.407	0.271	0.326	Mmacro	

Table 8 续表

		$d_s$ $v_1$				$d_s$ $v_2$				$d_s$ $v_3$							
		$b_1$	$b_2$	$b_3$	FCFM	SEM	$b_1$	$b_2$	$b_3$	FCFM	SEM	$b_1$	$b_2$	$b_3$	FCFM	SEM	
		prediction					prediction					prediction					
S1	$f_1$	$g_1$	0.398	0.343	0.272	Mmacro	0.043	0.463	0.329	0.208	Mmacro	0.092	0.310	0.339	0.351	Mo	0.030
		$g_2$	0.391	0.340	0.269	Mmacro	0.024	0.455	0.338	0.207	Mmacro	0.053	0.305	0.349	0.346	Mmicro	0.017
		$g_3$	0.401	0.340	0.269	Mmacro	0.030	0.463	0.337	0.200	Mmacro	0.066	0.315	0.348	0.338	Mmicro	0.022
		$g_4$	0.395	0.339	0.257	Mmacro		0.459	0.345	0.196	Mmacro		0.305	0.348	0.327	Mmicro	
	$f_2$	$g_1$	0.229	0.103	0.070	Mo	0.029	0.375	0.152	0.473	Mo	0.063	0.084	0.136	0.780	Mo	0.021
		$g_2$	0.225	0.134	0.041	Mo	0.016	0.366	0.175	0.459	Mo	0.036	0.088	0.164	0.748	Mo	0.011
		$g_3$	0.243	0.133	0.024	Mo	0.021	0.379	0.175	0.446	Mo	0.045	0.106	0.119	0.731	Mo	0.015
		$g_4$	0.227	0.143	0.031	Mo		0.370	0.183	0.447	Mo		0.122	0.165	0.709	Mo	
S2	$f_1$	$g_1$	0.353	0.364	0.284	Mmicro	0.048	0.412	0.359	0.229	Mmacro	0.101	0.279	0.371	0.350	Mmicro	0.033
		$g_2$	0.348	0.371	0.281	Mmicro	0.026	0.406	0.366	0.228	Mmacro	0.058	0.276	0.379	0.345	Mmicro	0.019
		$g_3$	0.356	0.371	0.274	Mmicro	0.034	0.413	0.365	0.222	Mmacro	0.073	0.284	0.378	0.338	Mmicro	0.023
		$g_4$	0.350	0.379	0.271	Mmicro		0.409	0.372	0.219	Mmacro		0.273	0.386	0.337	Mmicro	
	$f_2$	$g_1$	0.208	0.215	0.567	Mo	0.032	0.321	0.242	0.437	Mo	0.078	0.100	0.244	0.656	Mo	0.022
		$g_2$	0.206	0.243	0.551	Mo	0.018	0.315	0.256	0.428	Mo	0.039	0.103	0.260	0.637	Mo	0.013
		$g_3$	0.219	0.242	0.539	Mo	0.022	0.326	0.256	0.419	Mo	0.049	0.115	0.260	0.625	Mo	0.016
		$g_4$	0.207	0.252	0.541	Mo		0.318	0.264	0.418	Mo		0.102	0.269	0.630	Mo	
S3	$f_1$	$g_1$	0.430	0.342	0.229	Mmacro	0.079	0.486	0.339	0.175	Mmacro	0.163	0.344	0.355	0.305	Mmicro	0.057
		$g_2$	0.422	0.351	0.227	Mmacro	0.046	0.478	0.348	0.175	Mmacro	0.097	0.339	0.361	0.301	Mmicro	0.032
		$g_3$	0.431	0.350	0.219	Mmacro	0.057	0.485	0.347	0.168	Mmacro	0.120	0.347	0.353	0.293	Mmicro	0.040
		$g_4$	0.427	0.359	0.215	Mmacro		0.482	0.354	0.164	Mmacro		0.341	0.301	0.291	Mmicro	
	$f_2$	$g_1$	0.307	0.156	0.537	Mo	0.064	0.421	0.190	0.391	Mmacro	0.115	0.171	0.183	0.646	Mo	0.038
		$g_2$	0.300	0.181	0.519	Mo	0.031	0.411	0.209	0.380	Mmacro	0.067	0.171	0.205	0.624	Mo	0.022
		$g_3$	0.316	0.180	0.504	Mo	0.038	0.422	0.208	0.370	Mmacro	0.083	0.185	0.205	0.610	Mo	0.027
		$g_4$	0.304	0.190	0.506	Mo		0.416	0.216	0.363	Mmacro		0.171	0.214	0.625	Mo	

Table 8, the two inspective "prediction" results for various large reservoirs are listed in the last two row.

It is not difficult to find out that SEM is less successful. According to its predictions, examination probabilities can reach or approach 0.5 only for 12 induced reservoirs, with a success rate of only about 40%, and at the same time seismoinductive intensity can not be predicted.

On the contrary, FCEM has a success rate of "prediction" of up to 70% for  $M_s \geq 5$ , 80% for  $M_s < 5$  and 85% for  $M_s$  (assume that the fault activity is  $f_2$ ). Therefore conclusion may be drawn that with the existing data-based FCEM, it is possible to estimate with 70-80% of certainty the seismoinductive risk and the possible maximum intensity of large new reservoirs.

Of course, FCEM still has some aspects to be improved which are not to be discussed here.

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## 预测水库诱发地震的两个数学模式

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### 摘 要

近几十年来,特别是近二十年来,随着人类工程活动的规模和领域的扩大,出现了伴生的新问题——诱发地震。水库蓄水、小规模的地面或地下采矿、处理废液、地热发电和石油开采及城市大量抽取地下水以及地下核爆破等都造成局部地震活动增强。由于诱发地震是伴随大型工程而发生的,且多发生于大型工程附近,所以即使5级左右的地震也应予以考虑。

国内外学者对水库地震的预测提出过许多方法。本文介绍了预测水库地震的统计检验预测模式和两级模糊综合评判模式,并用实际水库震例进行了检验。